



# Traka Resources Limited

ABN 63 103 323 173

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1 June 2021

Ms Gabriella Storey  
Adviser, Listings Compliance (Perth)  
ASX Compliance Pty Limited  
Level 40, Central Park  
152 – 158 St Georges Terrace  
Perth WA 6000

By email to: *Gabriella.Storey@asx.com.au*  
*ListingsCompliancePerth@asx.com.au*

Dear Gabriella

## **Response to Price and Volume Query – Traka Resources Limited (TKL)**

We acknowledge receipt of your letter dated 28 May 2021.

Traka Resources Limited (Traka) responds to the questions posed in your letter as set out below:-

1. *Is TKL aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?*

**No.**

2. *If the answer to question 1 is “yes”....*

**Not Applicable.**

3. *If the answer to question 1 is “no”, is there any other explanation that TKL may have for the recent trading in its securities?*

**An article was published on Friday 28 May in Resources Rising Stars and on Livewiremarkets that positively reiterated Traka’s exploration activities at its Mt Cattlin Gold Project, with mention of the Revelation Prospect and pending assay results. It remains the Board’s considered opinion that this commentary was the sole reason for the increase in the volume and price of Traka’s recently traded shares.**

**The Company has since received assay results from drill holes RAGC058 and RAGC059, which will be released to the market on Tuesday 1 June, thereby seeking the lifting of the trading halt requested on 28 May. In the Board’s opinion, these results, had they been known by some in the market, would not explain the recent trading in its securities.**

4. *Please confirm that TKL is in compliance with the Listing Rules, and in particular Listing Rule 3.1.*

**The Company confirms that it is in compliance with the Listing Rules and, in particular, Listing Rule 3.1.**

5. *Please confirm that TKL's response to the questions above have been authorised and approved in accordance with its published continuous disclosure policy or otherwise by its board or an officer of TKL with delegated authority from the board to respond to ASX on disclosure matters.*

**Traka confirms that this response has been authorised and approved in accordance with company policy.**

Yours sincerely

Patrick Verbeek  
**Managing Director**



28 May 2021

Reference: ODIN35349

Ms Ira Gibbs  
Company Secretary  
Traka Resources Limited  
Suite 2, Ground Floor, 43 Ventnor Avenue  
West Perth, Western Australia 6005

By email: iragibbs@gossan.com.au

Dear Ms Gibbs

**Traka Resources Limited ('TKL'): Price and Volume - Query**

ASX refers to the following:

- A. The change in the price of TKL's securities from an intraday low of \$0.023 to an intraday high of \$0.035 at the time of writing this letter today.
- B. The significant increase in the volume of TKL's securities traded today.

**Request for information**

In light of this, ASX asks TKL to respond separately to each of the following questions and requests for information:

1. Is TKL aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
  - (a) Is TKL relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in TKL's securities would suggest to ASX that such information may have ceased to be confidential and therefore TKL may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that TKL may have for the recent trading in its securities?
4. Please confirm that TKL is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that TKL's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of TKL with delegated authority from the board to respond to ASX on disclosure matters.

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### **When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **10:30 AM AWST Friday, 28 May 2021**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, TKL's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require TKL to request a trading halt immediately.

Your response should be sent to me by e-mail at **ListingsCompliancePerth@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in TKL's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### **Suspension**

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in TKL's securities under Listing Rule 17.3.

### **Listing Rules 3.1 and 3.1A**

In responding to this letter, you should have regard to TKL's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that TKL's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Release of correspondence between ASX and entity**

We reserve the right to release a copy of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

### **Questions**

If you have any questions in relation to the above, please do not hesitate to contact me.

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Kind regards

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**Gabriella Storey**  
Adviser, Listings Compliance (Perth)